

HIGHLIGHTS

February 19, 2004 - The Mutual Fund Scandal

Orange County, CA - Orange County Chapter, Western Pension & Benefits Conference

- WHAT EXACTLY IS GOING ON?
- WHICH FUNDS ARE INVOLVED?
 - WHAT DID THEY DO?
 - HOW HAVE THEY REACTED?
- HOW HAVE QUALIFIED PLANS REACTED?
- HOW SHOULD PLAN FIDUCIARIES RESPOND?
- WHAT IS THE AFFECT ON 404(C) COMPLIANCE?
- WHAT IS THE PLAN VENDOR ROLE/OPPORTUNITY?
- IS THE TPA INVOLVED?
- WHAT ABOUT THE BROKER ON THE PLAN?
- OTHER FIDUCIARY ISSUES TO CONSIDER NOW